

# Variational Optical Flow Estimation

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### Introduction (1)

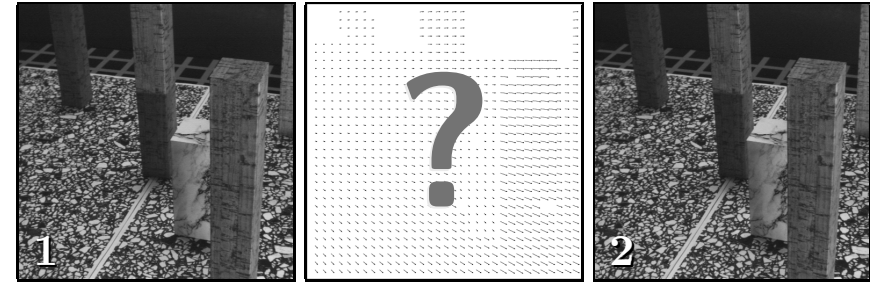
#### What is the Optical Flow Problem?

◆ **Given**

- two or more frames of an image sequence

◆ **Wanted**

- displacement field between two consecutive frames → **optical flow**



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### Introduction (2)

#### What is Optical Flow Good for?

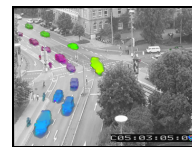
◆ **Extraction of Motion Information**

- robot navigation/driver assistance
- surveillance/tracking
- action recognition



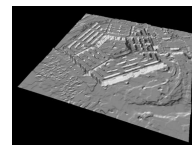
◆ **Processing of Image Sequences**

- video compression
- ego motion compensation



◆ **Related Correspondence Problems**

- stereo reconstruction
- structure-from-motion
- medical image registration



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### Introduction (3)

#### Why Variational Methods?

◆ **Advantages w.r.t. Modeling**

- transparent modeling
- formulation as optimization problem



◆ **Advantages w.r.t. Computation**

- unique minimizer and well-posedness
- real-time capable numerical schemes



◆ **Advantages w.r.t. Quality**

- dense flow fields with sub-pixel precision
- most accurate results in the literature



**These are the reasons why variational methods are so successful !**

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## Outline of this Tutorial

- ◆ **Part I: Variational Basics** (*Andrés Bruhn*)
  - Continuous modeling
  - Method of Horn and Schunck
- ◆ **Part II: Modeling Aspects** (*Thomas Brox*)
  - Motion discontinuities
  - Robust data terms
  - Large displacements
- ◆ **Part III: Efficient Numerics** (*Andrés Bruhn*)
  - Improved non-hierarchical solvers
  - Linear and nonlinear multigrid
  - Implementations on parallel hardware

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PART I  
Variational Basics

## Contents

1. Continuous Modeling and Aperture Problem
2. The Method of Horn and Schunck
3. Minimization of and Discretization
4. Solving Linear Systems of Equations

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## Continuous Modeling (1)

## Continuous Modeling

- ◆ **Given**
  - continuous image sequence  $I_0(x, y, t)$ 

location	$(x, y) \in \Omega$
time	$t \in [0, T]$
- ◆ **Wanted**
  - interframe displacement field  $\mathbf{w}(x, y, t) = \begin{pmatrix} u(x, y, t) \\ v(x, y, t) \end{pmatrix} \rightarrow$  **optical flow**

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 $I_0(x, y, t)$  $\mathbf{w}(x, y, t)$  $I_0(x, y, t+1)$ 

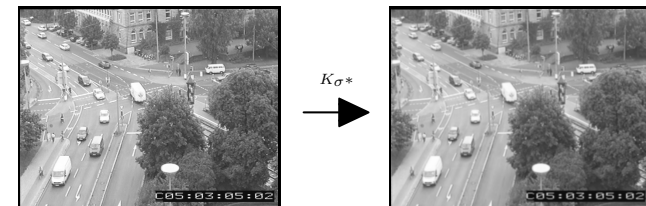
## Continuous Modeling (2)

## Standard Preprocessing

- ◆ *Idea:* In order to reduce the influence of noise and outliers, we convolve  $I_0$  with a Gaussian  $K_\sigma$  of mean  $\mu = 0$  and standard deviation  $\sigma$

$$I(x, y, t) = K_\sigma * I_0(x, y, t)$$

- image sequence becomes infinitely many times differentiable, i.e.  $I \in C^\infty$
- allows to estimate larger displacements due to the blurring of objects



Important for methods that rely on the computation of image derivatives!

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The Gray Value Constancy Assumption

- Idea: In order to retrieve corresponding pixels in subsequent frames, we assume that their gray value does not change over time:

$$I(x + u, y + v, t + 1) - I(x, y, t) = 0.$$

The Linearized Gray Value Constancy Assumption

- Idea: If  $u$  and  $v$  are small and  $I$  is sufficiently smooth, one may **linearize** this constancy assumption via a first-order Taylor expansion around the point  $(x, y, t)$ :

$$I(x + u, y + v, t + 1) \approx I(x, y, t) + I_x(x, y, t)u + I_y(x, y, t)v + I_t(x, y, t)1$$

$$\rightarrow I_x u + I_y v + I_t = 0.$$

This constraint is the **brightness constancy constraint equation (BCCE)**. In general such constraints on the flow are called optical flow constraints (OFCs).

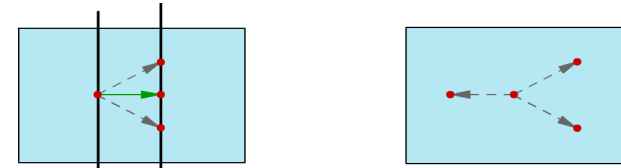
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The Aperture Problem

- The BCCE provides only one equation for determining two unknowns
- Ill-posed problem with infinitely many solutions
- Only the flow component in direction of the image gradient can be computed, the so-called **normal flow**:

$$(u, v)_n^\top = \frac{-I_t \nabla I}{|\nabla f| |\nabla I|}.$$

- This problem is referred to as the **aperture problem**. It can be illustrated as



Case I

Case II

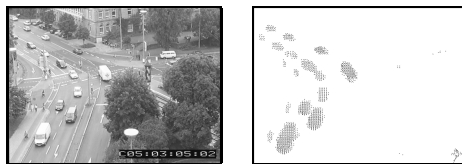
$|\nabla I| \neq 0 \rightarrow$  Aperture problem

$|\nabla I| = 0 \rightarrow$  No estimation possible

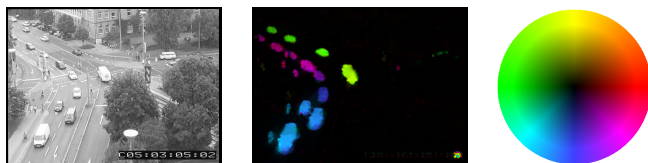
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Intermezzo I - How to Visualize Optical Flow Fields?

- Vector Plot:** Subsample vector field and use arrows for visualization



- Color Plot:** Visualize direction as color and magnitude as brightness



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Intermezzo II - How to Measure the Quality of Optical Flow Fields?

- Given:** estimated flow field  $w^e$  and ground truth flow field  $w^t$
- Spatiotemporal Average Angular Error (AAE):**
  - Consider angle and magnitude by using the **spatiotemporal angle**

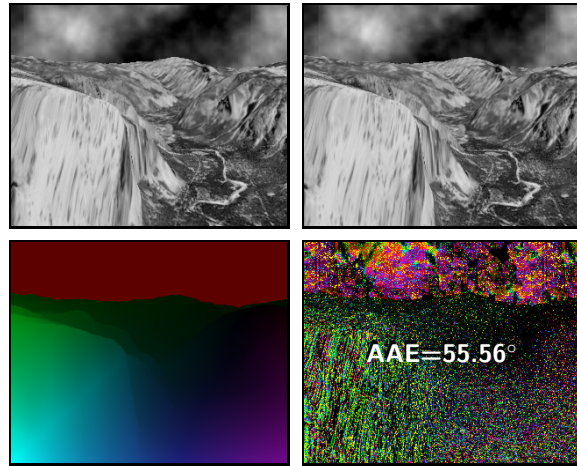
$$AAE = \frac{1}{NM} \sum_{i=1}^N \sum_{j=1}^M \arccos \left( \frac{w_{i,j}^t \cdot w_{i,j}^e}{|w_{i,j}^t| |w_{i,j}^e|} \right).$$

- Average Endpoint Error (AEE):**
  - Consider the **Euclidean distance** between the vectors

$$AEE = \frac{1}{NM} \sum_{i=1}^N \sum_{j=1}^M |w_{i,j}^t - w_{i,j}^e|.$$

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How Accurate is the Normal Flow?



Results for the Yosemite Sequence with clouds (L. Quam). (a) Upper Left: Frame 8. (b) Upper Right: Frame 9. (c) Lower Left: Ground truth. (d) Lower Right: Normal flow.

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Variational Optical Flow Computation

What is a Functional?

- ◆ *Known:* A function maps an **input value** to an output value, e.g.

$$f(x, y) = x^2 + y^2 .$$

- ◆ *New:* A functional maps an **input function** to an output value, e.g.

$$E(f(x, y)) = \frac{1}{|\Omega|} \int_{\Omega} f(x, y) dx dy .$$

- ◆ *Remarks:* Functionals

- can be used to rate the quality of a function w.r.t. certain assumptions
- form the basis of variational optical flow methods

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Principle of Variational Optical Flow Methods

- ◆ *Idea:* Compute displacement field as **minimizer** of a suitable energy functional:

$$E(u, v) = \int_{\Omega} \underbrace{D(u, v)}_{\text{data term}} + \alpha \underbrace{S(u, v)}_{\text{smoothness term}} dx dy .$$

- **data term**  $D(u, v)$  penalizes deviations from constancy assumptions
- **smoothness term**  $S(u, v)$  penalizes dev. from smoothness of the solution
- regularization parameter  $\alpha > 0$  determines the degree of smoothness

- ◆ *Remarks:* The minimising functions  $u$  and  $v$

- fit best to all model assumptions (smallest value for the energy functional)
- can be seen as a **compromise** between all (partly contradictive) assumptions

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The Method of Horn and Schunck

- ◆ *Idea:* Assume overall smoothness of the resulting flow field
- ◆ The method of Horn and Schunck computes the optical flow as minimizer of (Horn/Schunck AI 1981)

$$E(\mathbf{w}) = \int_{\Omega} \underbrace{(I_x u + I_y v + I_t)^2}_{\text{data term}} + \alpha \underbrace{(|\nabla u|^2 + |\nabla v|^2)}_{\text{smoothness term}} dx dy .$$

- **data term** penalizes deviations from the linearized brightness constancy assumption (BCCE)
- **smoothness term** penalizes deviations from smoothness of the flow field, i.e. from variations of the functions  $u$  and  $v$  given by their first derivatives

Why variational methods can compute a solution everywhere?

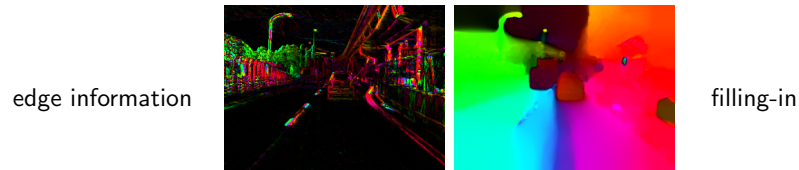
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The Filling-In-Effect

- ◆ *Observation:* If no information is available, i.e.  $|\nabla f| \approx 0$ , the flow functions  $u$  and  $v$  have hardly any influence on the contribution of the data term

$$(f_x u + f_y v + f_t)^2 \approx f_t^2.$$

- ◆ *Consequence:* The flow functions  $u$  and  $v$  adapt to the local solution(s) of the neighborhood to fulfill at least the smoothness term  $\rightarrow$  **filling-in-effect**.



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The Motion Tensor Notation

- ◆ *Idea:* Rewrite a linearized quadratic data term in a more compact way (e.g. Bigün et al. TPAMI 1991, Farneback ICCV 2001, Bruhn et al. IJCV 2005)

- ◆ *Example:* Linearized gray value constancy assumption (BCCE)

$$(I_x u + I_y v + I_t)^2 = (\mathbf{w}^\top \nabla_3 I)^2 = \mathbf{w}^\top \nabla_3 I \nabla_3 I^\top \mathbf{w} = \mathbf{w}^\top J \mathbf{w}$$

yields a single quadratic form with the  $3 \times 3$  **motion tensor**

$$J = \begin{pmatrix} J_{11} & J_{12} & J_{13} \\ J_{12} & J_{22} & J_{23} \\ J_{13} & J_{23} & J_{33} \end{pmatrix} = \begin{pmatrix} I_x^2 & I_x I_y & I_x I_t \\ I_x I_y & I_y^2 & I_y I_t \\ I_x I_t & I_y I_t & I_t^2 \end{pmatrix} = \nabla_3 I \nabla_3 I^\top.$$

- ◆ *Application:* In motion tensor notation the Horn and Schunck method reads

$$E(\mathbf{w}) = \int_{\Omega} \underbrace{\mathbf{w}^\top J \mathbf{w}}_{\text{data term}} + \alpha \underbrace{(|\nabla u|^2 + |\nabla v|^2)}_{\text{smoothness term}} dx dy.$$

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Minimization of Continuous Energy Functionals

- ◆ *Idea:* Similar strategy as for ordinary functions  $\rightarrow$  derive necessary conditions
- ◆ These necessary conditions are called **Euler-Lagrange equations**. They state that the first variation of the energy functional must vanish ( $\approx$  first derivative). (e.g. Elsgolc 1961, Gelfand/Fomin 2000)
- ◆ For a typical optical flow energy functional of type

$$E(u, v) = \int_{\Omega} F(x, y, u, v, u_x, u_y, v_x, v_y) dx dy$$

the Euler-Lagrange equations are given by the following system of PDEs

$$\begin{aligned} 0 &\stackrel{!}{=} F_u - \frac{\partial}{\partial x} F_{u_x} - \frac{\partial}{\partial y} F_{u_y}, \\ 0 &\stackrel{!}{=} F_v - \frac{\partial}{\partial x} F_{v_x} - \frac{\partial}{\partial y} F_{v_y} \end{aligned}$$

with the associated **boundary conditions**  $\mathbf{n}^\top \begin{pmatrix} F_{u_x} \\ F_{u_y} \end{pmatrix} = 0$  and  $\mathbf{n}^\top \begin{pmatrix} F_{v_x} \\ F_{v_y} \end{pmatrix} = 0$ .

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How Do These Equations Look Like for the Method of Horn and Schunck?

- ◆ For the Method of Horn and Schunck  $F(x, y, u, v, u_x, u_y, v_x, v_y)$  is given by

$$\begin{aligned} F &= \mathbf{w}^\top J \mathbf{w} + \alpha (|\nabla u|^2 + |\nabla v|^2) \\ &= J_{11}u^2 + J_{22}v^2 + J_{33} + 2J_{12}uv + 2J_{13}u + 2J_{23}v + \alpha (u_x^2 + u_y^2 + v_x^2 + v_y^2). \end{aligned}$$

- ◆ The required partial derivatives can then be computed as

$$\begin{aligned} F_u &= 2J_{11}u + 2J_{12}v + 2J_{13}, & F_{u_x} &= \alpha 2u_x, & F_{u_y} &= \alpha 2u_y, \\ F_v &= 2J_{12}u + 2J_{22}v + 2J_{23}, & F_{v_x} &= \alpha 2v_x, & F_{v_y} &= \alpha 2v_y. \end{aligned}$$

- ◆ As necessary condition for a minimizer this yields the Euler-Lagrange equations

$$\begin{aligned} 0 &= F_u - \frac{\partial}{\partial x} F_{u_x} - \frac{\partial}{\partial y} F_{u_y} = \mathcal{L}(J_{11}u + J_{12}v + J_{13} - \alpha \underbrace{(u_{xx} + u_{yy})}_{\Delta u}) \\ 0 &= F_v - \frac{\partial}{\partial x} F_{v_x} - \frac{\partial}{\partial y} F_{v_y} = \mathcal{L}(J_{12}u + J_{22}v + J_{23} - \alpha \underbrace{(v_{xx} + v_{yy})}_{\Delta v}) \end{aligned}$$

with (reflecting) **Neumann boundary conditions**  $\mathbf{n}^\top \nabla u = 0$  and  $\mathbf{n}^\top \nabla v = 0$ .

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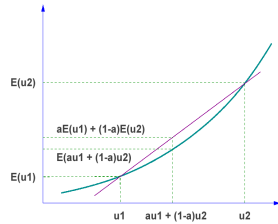
### Minimization and Discretization (3)

#### Existence and Uniqueness of the Minimizer

◆ Strictly convex energy functionals

- fulfill for all  $\alpha \in [0, \dots, 1]$  the inequality:

$$E(\alpha \mathbf{u}_1 + (1-\alpha)\mathbf{u}_2) < \alpha E(\mathbf{u}_1) + (1-\alpha)E(\mathbf{u}_2).$$



- have at most one solution which is **unique** if it exists (global minimizer)

◆ Further properties of strictly convex variational optical flow methods (Schnörr JMIV 1994, Weickert/Schnörr IJCV 2001)

- existence of a solution
- solution depends continuously on the input data

**Well-posedness (in the sense of Hadamard)**

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### Minimization and Discretization (4)

#### How Can We Solve The Euler-Lagrange-Equations Numerically?

◆ *Idea:* Discretize the Euler-Lagrange equations of the Horn and Schunck method

$$\begin{aligned} 0 &= J_{11}u + J_{12}v + J_{13} - \alpha \Delta u \\ 0 &= J_{12}u + J_{22}v + J_{23} - \alpha \Delta v \end{aligned}$$

on a rectangular grid with spacing  $h_x$  in x-direction and spacing  $h_y$  in y-direction.

◆ *Solution:* Approximate occurring derivatives via finite differences (e.g. Sobel, Scharr, Prewitt, Kumar operators)

- image derivatives  $f_x, f_y, f_t$  required for motion tensor entries  $J_{nm}$
- flow derivatives  $\Delta = u_{xx} + u_{yy}, \Delta v = v_{xx} + v_{yy}$ , here discretized via

$$\Delta u = \frac{u_{i+1,j} - u_{i,j}}{h_x^2} + \frac{u_{i-1,j} - u_{i,j}}{h_x^2} + \frac{u_{i,j+1} - u_{i,j}}{h_y^2} + \frac{u_{i,j-1} - u_{i,j}}{h_y^2}.$$

◆ *Consistency:* for  $h_x \rightarrow 0$  and  $h_y \rightarrow 0$  one obtains the continuous derivatives

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### Minimization and Discretization (5)

#### Discrete Euler-Lagrange Equations

◆ The discrete Euler-Lagrange equations for the method of Horn and Schunck can finally be written as

$$\begin{aligned} 0 &= [J_{11}]_{i,j} u_{i,j} + [J_{12}]_{i,j} v_{i,j} + [J_{13}]_{i,j} - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l(i,j)} \frac{u_{\tilde{i}, \tilde{j}} - u_{i,j}}{h_l^2} \\ 0 &= [J_{12}]_{i,j} u_{i,j} + [J_{22}]_{i,j} v_{i,j} + [J_{23}]_{i,j} - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l(i,j)} \frac{v_{\tilde{i}, \tilde{j}} - v_{i,j}}{h_l^2} \end{aligned}$$

for  $i = 1, \dots, N$  and  $j = 1, \dots, M$ .

- here,  $\mathcal{N}_l(i,j)$  denotes the set of neighbors of pixel  $i,j$  in direction of axis  $l$  (assuming four direct neighbors, i.e. two in each direction)
- these equations constitute a **linear system of equations** w.r.t. the  $2N \times M$  unknowns  $u_{i,j}$  and  $v_{i,j}$  for  $i = 1, \dots, N$  and  $j = 1, \dots, M$

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### Minimization and Discretization (6)

#### Structure of the Linear System

◆ This linear system of equations  $A\mathbf{x} = \mathbf{b}$  has the following block structure

$$\left( \begin{array}{cc|cc} J_{11} & J_{12} & 1 & 1 \\ J_{12} & J_{22} & -2 & 1 \\ \hline J_{12} & J_{22} & 1 & -2 \\ J_{13} & J_{23} & 1 & -2 \end{array} \right) - \alpha \left( \begin{array}{cc|cc} -2 & 1 & 1 & 1 \\ 1 & -3 & 1 & 1 \\ \hline 1 & 1 & -2 & 1 \\ 1 & 1 & 1 & -2 \end{array} \right) \begin{pmatrix} u \\ v \\ u \\ v \\ u \\ v \\ u \\ v \\ u \\ v \\ u \\ v \\ u \\ v \\ u \\ v \end{pmatrix} = \begin{pmatrix} -J_{13} \\ -J_{13} \\ -J_{13} \\ -J_{13} \\ -J_{13} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \\ -J_{23} \end{pmatrix}$$

- **smoothness term** only contributes to block main diagonals
- **data term** also contributes to block off-diagonals

◆ For non-constant input images the matrix  $A$  is positive definite

◆ For an image with 1M pixels, the matrix  $A$  has  $4 \cdot 10^{12}$  entries. Assuming 32-bit float precision this requires 16 Terabyte memory ( $\rightarrow$  store only non-zero entries). Direct Gauss-Elimination with complexity  $O(n^3)$  is not practicable.

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# Solving Linear Systems of Equations

## How Can We Solve The Linear System of Equation $Ax = b$ ?

- Idea: Find a cheap but accurate approximation of  $A^{-1}$  via the decomposition (e.g. Young 1971, Saad 1996)

$$A = A_1 + A_2$$

- Introduce fixed point iteration of type

$$A_1 \mathbf{x}^{k+1} = \mathbf{b} - A_2 \mathbf{x}^k$$

$$\Leftrightarrow \mathbf{x}^{k+1} = A_1^{-1}(\mathbf{b} - A_2 \mathbf{x}^k)$$

- In each iteration a linear system of equations with matrix  $A_1$  has to be solved
  - $A_1^{-1}$  should be a reasonable approximation of  $A^{-1}$
  - $A_1^{-1}$  should be cheap to compute, i.e. the system should be simple to solve

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## Frequent Approach

- Use matrix decomposition of type

$$A = D - L - U.$$

- $D$  is the diagonal part of  $A$
- $L$  is the strictly lower triangular part of  $A$
- $U$  is the strictly upper triangular part of  $A$

- For the method of Horn and Schunck this yields

$$A = \begin{pmatrix} J_{11} & J_{12} & & & \\ J_{12} & J_{22} & & & \\ & & J_{22} & & \\ & & & J_{22} & \\ & & & & J_{22} \end{pmatrix} - \alpha \begin{pmatrix} -2 & 1 & & & \\ 1 & -3 & 1 & & \\ & 1 & -2 & 1 & \\ & & & -2 & 1 \\ & & & & 1 \end{pmatrix}$$

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## Frequent Approach

- In terms of the discretized Euler-Lagrange equations we obtain

$$0 = [J_{11}]_{i,j} u_{i,j} + [J_{12}]_{i,j} v_{i,j} + [J_{13}]_{i,j} + \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} u_{\tilde{i}, \tilde{j}} - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} u_{\tilde{i}, \tilde{j}}$$

$$0 = [J_{12}]_{i,j} u_{i,j} + [J_{22}]_{i,j} v_{i,j} + [J_{23}]_{i,j} + \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} v_{\tilde{i}, \tilde{j}} - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} v_{\tilde{i}, \tilde{j}}$$

for  $i = 1, \dots, N$  and  $j = 1, \dots, M$ .

- Notation for the neighborhood

- $\mathcal{N}_l^-(i, j)$  denotes the set of neighbors of pixel  $i, j$  in direction of axis  $l$  that have a **smaller index** (will be updated **before** the central pixel)
- $\mathcal{N}_l^+(i, j)$  denotes the set of neighbors of pixel  $i, j$  in direction of axis  $l$  that have a **larger index** (will be updated **after** the central pixel)

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## The Jacobi Method

- Set  $A_1 = D$ , since diagonal matrices are simple to invert.  $A_2 = -L - U$ .

- Yields the fixed point iteration

$$\mathbf{x}^{k+1} = D^{-1}(\mathbf{b} + (L + U)\mathbf{x}^k) \Leftrightarrow x_i^{k+1} = \frac{1}{a_{ii}} \left( b_i - \sum_{j < i} a_{ij} x_j^k - \sum_{j > i} a_{ij} x_j^k \right).$$

- For the Horn and Schunck method the Jacobi iteration for the pixel  $i, j$  reads

$$u_{i,j}^{k+1} = \frac{\left( -[J_{13}]_{i,j} - \left( [J_{12}]_{i,j} u_{i,j}^k - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} u_{\tilde{i}, \tilde{j}}^k - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} u_{\tilde{i}, \tilde{j}}^k \right) \right)}{[J_{11}]_{i,j} + \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l(i,j)} \frac{1}{h_l^2}}$$

$$v_{i,j}^{k+1} = \frac{\left( -[J_{23}]_{i,j} - \left( [J_{12}]_{i,j} u_{i,j}^k - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} v_{\tilde{i}, \tilde{j}}^k - \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} v_{\tilde{i}, \tilde{j}}^k \right) \right)}{[J_{22}]_{i,j} + \alpha \sum_{l \in x,y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l(i,j)} \frac{1}{h_l^2}}$$

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The Gauß-Seidel Method

- ◆ Set  $A_1 = D - L$ , since this triangular matrix is a better approximation to  $A$  than the diagonal  $D$  alone. Triangular matrices are still simple to invert.  $A_2 = -U$ .
- ◆ Yields the fixed point iteration

$$\mathbf{x}^{k+1} = (D-L)^{-1}(\mathbf{b} + U \mathbf{x}^k) \Leftrightarrow x_i^{k+1} = \frac{1}{a_{ii}} \left( b_i - \sum_{j<i} a_{ij} x_j^{k+1} - \sum_{j>i} a_{ij} x_j^k \right)$$

- ◆ The corresponding Gauß-Seidel iteration for the pixel  $i, j$  reads

$$u_{i,j}^{k+1} = \frac{\left( -[J_{13}]_{i,j} - \left( [J_{12}]_{i,j} v_{i,j}^k - \alpha \sum_{l \in \mathcal{X}, y} \sum_{\mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} u_{i,j}^{k+1} - \alpha \sum_{l \in \mathcal{X}, y} \sum_{\mathcal{N}_l^+(i,j)} \frac{1}{h_l^2} u_{i,j}^k \right) \right)}{[J_{11}]_{i,j} + \alpha \sum_{l \in \mathcal{X}, y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2}}$$

$$v_{i,j}^{k+1} = \frac{\left( -[J_{23}]_{i,j} - \left( [J_{22}]_{i,j} u_{i,j}^{k+1} - \alpha \sum_{l \in \mathcal{X}, y} \sum_{\mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} v_{i,j}^{k+1} - \alpha \sum_{l \in \mathcal{X}, y} \sum_{\mathcal{N}_l^-(i,j)} \frac{1}{h_l^2} v_{i,j}^k \right) \right)}{[J_{22}]_{i,j} + \alpha \sum_{l \in \mathcal{X}, y} \sum_{(\tilde{i}, \tilde{j}) \in \mathcal{N}_l^-(i,j)} \frac{1}{h_l^2}}$$

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Remarks to the Gauß-Seidel Method

- ◆ Advantages
  - positive definiteness of the matrix  $A$  sufficient for convergence
  - about twice as fast as the Jacobi technique
  - does not require to store values from the previous iteration  $k$  (less memory consumption, easier to implement)
- ◆ Drawbacks
  - more difficult to parallelize than the Jacobi method (see PART III)
  - performance depends on the order in which the unknowns are traversed (symmetric variants exist that partly account for that problem)
  - still far from being real-time capable for small images sizes
- ◆ Outlook
  - in PART III we will discuss much more advanced numerical schemes based on the Gauß-Seidel method that even allow for real-time performance

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Results

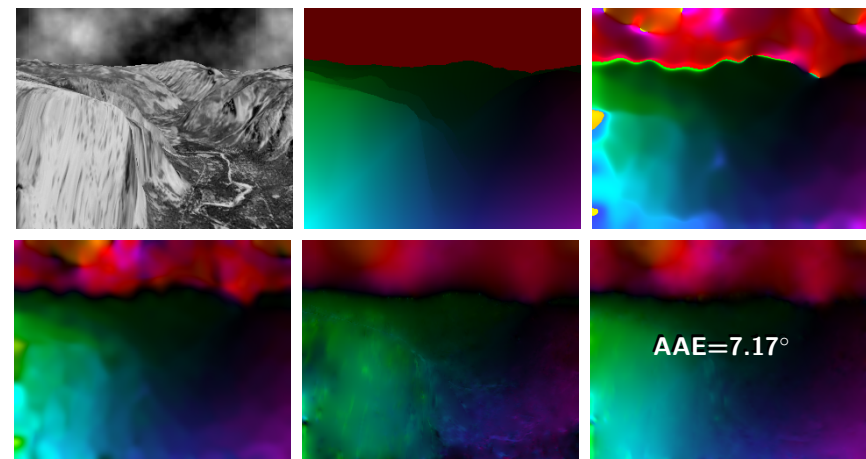
Comparison to Classical Approaches w.r.t. the Average Angular Error (AAE)

- ◆ Qualitative Evaluation for the Yosemite Sequence with Clouds

Technique	AAE
Normal Flow	55.56°
Normalized Cross Correlation (NCC)	21.84°
Block Matching + Subpixel (SSD)	21.46°
<b>Horn and Schunck (2-D)</b>	<b>13.29°</b>
Bigün et al. + Presmoothing (2-D)	10.60°
Lucas/Kanade + Presmoothing (2-D)	8.79°
<b>Horn and Schunck + Presmoothing (2-D)</b>	<b>7.17°</b>

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Results for the Horn and Schunck Method



Results for the Yosemite Sequence with clouds (L. Quam). (a) Upper Left: Frame 8. (b) Upper Center: Ground truth. (c) Upper Right: Bigün et al. (d) Lower Left: Lucas/Kanade. (e) Lower Center: Horn and Schunck w/o presmoothing. (f) Lower Right: Horn and Schunck with presmoothing.

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## Summary

- ◆ Variational methods compute optical flow as minimizer of an energy functional
- ◆ They make use of global smoothness assumptions on the solution to overcome the aperture problem (filling-in-effect by the smoothness term → dense results)
- ◆ They are minimized by solving their (discretized) Euler-Lagrange equations
- ◆ They offer many advantages such as
  - transparent modeling
  - dense flow fields
  - well-posedness
  - sub-pixel precision
- ◆ The method of Horn and Schunck is the simplest variational approach
- ◆ There are many adaptations/modifications of this basic method possible that improve the quality and the performance even further (see PART II-III)